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04-02-01

PTO/SB/05 (4/98)
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. M61.12-0317
First Inventor or Application Identifier Joseph E. Pentheroudakis et al.
Title TOKENIZER FOR A NATURAL LANGUAGE PROCESSING SYSTEM
Express Mail Label No. EI 844345660US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets 52]
(preferred arrangement set forth below)
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 6]
4. Oath or Declaration [Total Sheets 3]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (Identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO – PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ *Small Entity ☐ Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☒ Other: Checks in the amount of \$764.00 and \$40.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP)

of prior application No: _____

Prior application information:

Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label

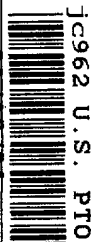
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or ☒ Correspondence address below

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Signature *Theodore M. Magee* Date 3/30/01

03/30/01



FEE TRANSMITTAL

- Complete if Known

Application No.

Filing Date

First Named Inventor

Title

TOKENIZER FOR A NATURAL LANGUAGE
PROCESSING SYSTEM

Group Art Unit

Examiner Name

Total Amount of Payment \$ 804

Atty. Docket Number

M61.12-0317

METHOD OF PAYMENT (Check One)

FEE CALCULATION (Continued)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123 Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
101	710	201	355 <input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160 <input type="checkbox"/> Design Filing Fee
108	710	208	355 <input type="checkbox"/> Reissue Filing Fee
114	150	214	75 <input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 710

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	23	20	3	18	54
Indep.	3	3	0	80	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater, Reissue see below

Large Entity Fee	Small Entity Fee	Code	Fee Description
103	18	203	9 Claims in excess of 20
102	80	202	40 Independent claims in excess of 3
104	270	204	135 Multiple Dependent Claims
109	80	209	40 Reissue Independent Claims over Original Patent
110	18	210	9 Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 54

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Code	Fee Description	Fee Paid
105	130	205	65 Surcharge - Late filing fee or oath	
127	50	227	25 Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For Filing a Request for Reexamination	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	280	945 Extension for reply within fifth month	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
148	110	248	55 Terminal Disclaimer Fee	
140	110	240	55 Petition to Revive - unavoidable	
141	1,240	241	620 Petition to Revive - unintentional	
142	1,270	242	650 Utility/Reissue issue fee (inc. advance copies)	
143	470	243	250 Design issue fee (inc. advance copies)	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	180	126	180 Submission of Information Disclosure Statement	
581	40	581	40 Recording each patent assignment per property (times number of properties)	40

Other Fee (specify) _____

Subtotal (3) \$40

Signature

(Theodore M. Magee)

Reg. No. 39,758

Date

3-30-01

Deposit Account No. 23-1123